

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
17 June 2004 (17.06.2004)

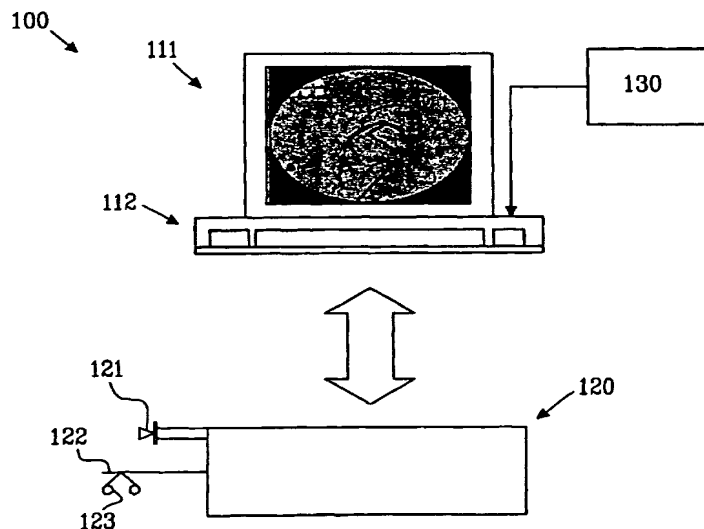
PCT

(10) International Publication Number  
**WO 2004/051603 A1**

- (51) International Patent Classification<sup>7</sup>: **G09B 23/28**
- (21) International Application Number:  
PCT/SE2003/001892
- (22) International Filing Date: 3 December 2003 (03.12.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
0203569-9 3 December 2002 (03.12.2002) SE  
60/430,364 3 December 2002 (03.12.2002) US
- (71) Applicant (for all designated States except US): **MEN-  
TICE AB** [SE/SE]; Kaserntorget 6, S-411 18 Göteborg  
(SE).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): **GRUND-PEDER-  
SEN, Jan** [SE/SE]; Hagenvagen 1740, S-429 35 Kullavik  
(SE).
- (74) Agent: **STRÖM & GULLIKSON IP AB**; Sjöporten 4,  
S-417 64 Göteborg (SE).
- (81) Designated States (*national*): AE, AG, AL, AM, AT (util-  
ity model), AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ,  
CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE  
(utility model), DE, DK (utility model), DK, DM, DZ, EC,  
EE, EG, ES, FI (utility model), FI, GB, GD, GE, GH, GM,  
HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK,  
LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX,  
MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD,  
SE, SG, SK (utility model), SK, SL, SY, TJ, TM, TN, TR,  
TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (*regional*): ARIPO patent (BW, GH,  
GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE,  
SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA,  
GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— with international search report

[Continued on next page]

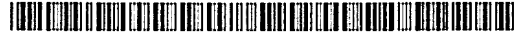
(54) Title: AN INTERVENTIONAL SIMULATOR SYSTEM



(57) Abstract: The present invention relates to an interventional procedure simulation system, comprising a control unit and an interface unit, said control unit communication with said interface unit to simulate handling of at least one instrument interfaced by said interface unit. The instrument is a self expanding tool inserted inside a simulated vessel and simulated with respect to a set value representing a stiffness of said vessel, a rest diameter of said self expanding tool, a vessel initial inner diameter and spring constant for said tool.

WO 2004/051603 A1

**WO 2004/051603 A1**



---

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## TITLE

**AN INTERVENTIONAL SIMULATOR SYSTEM**

5

## TECHNICAL FIELD OF THE INVENTION

The present invention relates to a computerized apparatus for simulating an interventional operation, and in particular the using special instruments.

10

## BACKGROUND OF THE INVENTION

Principles of adult education, tenets of experiential learning, and theories addressing the development of expertise have all underscored the critical role experience plays in the learning process. State-of-the-art simulations can be successfully included in contemporary surgical and medical education to offer trainees and practicing physicians the requisite learning experiences based on these educational underpinnings. All learners can be offered opportunities to acquire the essential skills and to achieve specified competency levels based on standardized learning experiences. Simulations can be used to facilitate learning through the evaluation of performance and provision of immediate, individualized, and detailed feedback. Simulations offer controlled settings that allow repetition until the defined performance levels are achieved, decrease stress levels of learners, increase the confidence levels of learners, and increase safety in real settings by assuring the achievement of technical competence prior to work on patients. Practicing physicians can improve their skills and can learn new procedures emerging as a result of advances in science and technology through educational interventions involving the use of simulations. In addition, the use of simulations can help address practical issues, such as the demands on faculty time, by providing trainees the opportunities for independent learning and practice. The current emphasis on accountability and on assurance of the quality of health care may also be addressed through the use of such simulations and data on outcomes can be used to assure the public of the competence of physicians.

Simulations should be considered an essential part of every contemporary educational program that addresses technical skills development. They can be used to ensure effective teaching and learning, to provide valid and reliable means of assessment of the skills of learners, to yield information on specific weaknesses that require improvement, and to create individual proinstruction sets of the technical ability of learners. In order to achieve

35

the desired results, specific curricula should be developed based on principles of adult education, experiential learning, and effective feedback. Such simulations may also be used in programs of continuing professional education and certification. The initial investment of resources needed for the development and acquisition of simulations and for the creation of training programs that incorporate them effectively in educational models is readily offset by the numerous advantages resulting from expeditious performance of procedures in the operating room, enhancement of patient safety, and decrease in the faculty time needed to teach learners various technical skills. Such simulations may also be used to assess the effectiveness of educational efforts and even to select individuals for training. Thus, the simulations have the potential to make a major impact on programs of surgical and medical education of the future.

As a result of the rapid developments within the computer technique, simulations, especially for the purpose of surgical and medical education, have improved considerably. However, the presently known apparatus and methods do not allow a full range simulation of different instruments used.

Prior art does not suggest or give a hint for simulating different instruments according to the present invention.

20

#### SUMMARY OF THE INVENTION

The main object of the preferred embodiment of the invention is to present a novel and effective method and system for a real-time simulation of self expanding instruments, preferably in cardiovascular or endovascular diagnostic or interventional procedures.

25

Thus, an interventional procedure simulation system is provided comprising a control unit and an interface unit, the control unit communicating with the interface unit to simulate handling of at least one instrument interfaced by the interface unit. The instrument is a self expanding tool inserted inside a simulated vessel and simulated with respect to a set value representing an stiffness of the vessel, a rest diameter of the self expanding tool, a vessel initial inner diameter and spring constant for the tool. In one preferred embodiment, the instrument is a stent. In another preferred embodiment, the instrument is a distal protection device. Preferably, the distal protection device comprises a substantially double cone attached at two ends to a wire, and covered by a sheath. A distal

35

part of one cone is a net for simulating catching particles that can be set free during an intervention simulation.

#### BRIEF DESCRIPTION OF THE DRAWINGS

5

In the following, the invention will be further described in a non-limiting way with reference to the accompanying drawings in which:

- Fig. 1      schematically illustrates a block diagram according to one embodiment of the  
10              invention,  
Fig. 2      is a schematic view of an interface device,  
Fig. 3      is a schematic view of a simulated instrument,  
Figs. 4-6   are fluoroscopic images illustrating sequences using a balloon and stent,  
Figs. 7-10 are fluoroscopic images illustrating sequences using a guide catheter, and  
15      Figs. 11-13 are fluoroscopic images illustrating sequences using a distal protection.

#### DETAILED DESCRIPTION OF THE EMBODIMENTS

One exemplary embodiment of a simulation apparatus according to the invention is  
20      schematically illustrated in Fig. 1. The apparatus 100 comprises a computer unit 110 and  
an interface device 120. The computer unit 110 can be a conventional PC or similar, or a  
unit integrated with the interface device 120. The computer unit according to this  
embodiment communicates with a display unit 111, an input device 112 such as a  
keyboard and a mouse, and a communication interface (not shown).

25

The interface device 120, described in a parallel application, entitled "AN INTERVENTIONAL  
SIMULATION DEVICE" (SE 0203568-1) by the same applicant and incorporation herein  
through reference, is arranged to receive a number of instruments 121-123. The control  
system, described in a parallel application, entitled "AN INTERVENTIONAL SIMULATION  
30      CONTROL SYSTEM" (SE 0203567-3) by the same applicant and incorporation herein  
through reference, is arranged to simulate interventional procedures.

However, the invention is not limited to a system comprising the above mentioned control system and interface devices. The teachings of the invention can be employed in any system able of simulation of self-expanding instruments.

5 A 3D geometry can be constructed in different ways:

- They can be modeled in a 3D modeling software, i.e. from scratch using anatomy books, video clips, etc as references only.
- They can be reconstructed from real patient data, e.g. obtained through scans 130 with CT, MRI, Ultrasound, fluoroscope, etc.

10

An interface device 200, schematically illustrated in Fig. 2, as a preferred embodiment, is arranged to receive a number of instruments, dummies or real, preferably at least two instruments. The device comprises a number of moveable carriages 216A-216C corresponding to the number of the instruments, a common track 220, and an  
15 interconnecting member 226 provided as a telescopic tube. The interconnecting member 226 interconnects the carriages 216A-216C serially. Each carriage is provided with an opening for enabling reception of the instruments. Each carriage 216A-216C further comprises members to receive and lock at least one of the instruments, and members for receiving a movement from the instrument and generating a force, which is fed back to  
20 the instrument with respect to a simulation characteristic. Preferably, each carriage comprises a detecting arrangement for detecting the type of the instrument inserted through the interconnecting member. The interface device is connected to the control unit (PC) to measure the movement of each carriage and regulate the movement by means of a speed regulator and a distance regulator. Each carriage is connected with a gear belt  
25 transmission for driving along the track 220. Each carriage is provided with a crank block, which is arranged on a torque wheel. The crank block is provided with a mating surface, which is pressed towards a collet that grips the instrument wire. Moreover, each carriage is arranged with an outlet, which is provided with a detecting member, which detects presence of an instrument in the carriage. The detecting member is arranged to detect the  
30 thickness of each instrument. The optical sensor detects presence of an instrument in the carriage. The control unit measures a longitudinal movement and a movement of rotation, of the instrument and gives force-feedback in the longitudinal direction and in the direction of rotation, of the instrument according to received force and torque. A locking member is arranged to clamp an instrument, which instrument is attached to a central wall. The  
35 locking member comprises a torque wheel, which is arranged in the central wall. The crank

block is provided inside the torque wheel, which crank block moves in longitudinal direction. The crank block is fixed in the direction of rotation.

5 The system simulates the way different types of self-expanding tools behave. The self-expanding tool consists of the tool itself and a covering sheath (tube). As the sheath is retracted, the tool itself expands to its "natural" shape. In some cases, it is also possible to push a sheath back to cover the tool again.

**Example 1: Self-expandable stent.** The stent is pressed on top of a hollow tube, which runs on top of a wire, and covered, by a sheath. The stent is not attached to the underlying tube. When the covering sheath is retracted, the stent opens gradually and takes a predefined diameter (in a vessel this diameter is the maximum, and will be less if the vessel walls press the stent together). When the sheath is fully retracted, the stent will be totally detached from the sheath and underlying tube, and pressed against the vessel walls. There is now no way of retrieving the stent itself.

**Example 2: Distal protection device (DPD).** A DPD 30 as illustrated in fig. 3, is a "double cone" 31 and 32 attached at two ends to a wire 33, and covered by a sheath (not shown). The distal part of the "cone" is a fine net 31, which is attended to catch particles that can be set free during an intervention. The proximal part of the "cone" is totally open. When the sheath is retracted, the cone takes its "natural" shape – widest at the middle. Since it is attached to the underlying wire, the sheath can be pushed back to again cover the "cone".

25 In the following, the invention will be described in conjunction with a number of non-limiting examples:

Figs. 4-6 illustrate sequences of a self-expanding instrument, in this example a self-expanding stent. In case of self-expandable stents, they are covered with a sheath, which is then retracted and the stent expands to a given size (but as it hits the vessel wall the final size will be dependent of the vessel "stiffness" and the properties of the stent itself). The properties of the stent, both visually, the way it expands and the effects it has on the vessel are simulated. The vessel can also be post dilated with a "regular" balloon afterwards. In fig. 4 a self-expandable stent is in place in a vessel but not deployed, in fig. 5 the sheath covering the stent is partially retracted and in fig. 6 the sheath is fully retracted and stent deployed (not connected to the tool). The simulator program has a

number of initial values: a rest expansion diameter for the self expanding instrument expansion-diameter, the vessel initial inner diameter (at the simulated part), spring constant for the self expansion instrument and a vessel stiffness. These parameters determine how the simulator sets the boundaries for the expansion of the instrument and  
5 (simulated part) of the vessel. If a sheath is used, also its diameter must be initiated.

A balloon and a stent can also be used in same way; the balloon (also for the stent) interacts with a vessel, which expands. The blood flow changes, and the contrast when injected. The stent is simulated in such a way that it is visible and stays in place as the  
10 balloon is deflated. It is also possible to enter with a larger balloon and inflate it again. This will influence both the stent and the vessel, so-called post dilatation. It is also possible to first inflate a balloon, before using a stent, so-called pre dilatation. Simulation is achieved by using force feedback, whereby a tight lesion is felt, when going through with a "large" balloon.

15 The procedure illustrated in Figs. 7-10, is done in such a way that first a guide catheter and guide wire are advanced to access either the right or left coronary vessel tree. Contrast is then injected through the catheter to locate the lesion/stenosis. The view can be changed to obtain a perfect visualization of the lesion. Images can also be exported to  
20 be used by a separate QCA (Qualitative C Assessment) program, for length and width measurement. Then the user can decide what sizes of balloon/stent he/she wants to use. (Typically, some cine loops are recorded before and after balloon dilatation/stenting.) A thin guide wire (coronary wire) is first advanced through the catheter and into the vessel tree. The tip of the wire can be shaped in an angle (user selectable), and the wire is then  
25 steered through the vessel tree by rotating the wire, and pulling/pushing to find the right way past the lesion. The balloon/stent is then advanced on top of the wire, and positioned in the right place using the radioopaque markers. Contrast can be injected to see that it is positioned in the right place. Finally, the balloon/stent is inflated, held for some time, and then deflated. All the steps are simulated and can be performed as in real life.

30 A distal protection device stops emboli from moving further and block very small vessels (which can be devastating in the brain). A filter "basket" can then be used attached to a wire and at first covered with a sheath. The wire and sheath is positioned past the lesion, and then the sheath is retracted, leaving the basket as a protection for when the lesion is  
35 dilated. Afterwards a recovery sheath is advanced to close the basket and the two are



together retracted. The behavior of the distal protection device is simulated, comprising how one handles it and the visible characteristics. Other types of protection devices are also possible to simulate, for example balloons that block the flow while dilating.

Sequences are illustrated in Figs. 11-13, showing:

- 5 Fig. 11A distal protection device is in place in a vessel, sheath-covering filter partially retracted;  
Fig. 12 the sheath is retracted, markers at the "base" of filter starting to "expand";  
Fig. 13 the sheath retracted even more.
- 10 Note that the filter itself, in this case, is not visible on a fluoroscopic image. Only the marker points are. The sequence above can be reversed, since the filter stays connected to the wire.

- 15 The invention is not limited to the shown embodiments but can be varied in a number of ways without departing from the scope of the appended claims and the arrangement and the method can be implemented in various ways depending on application, functional units, needs and requirements etc.

**CLAIMS**

1. An interventional procedure simulation system, comprising a control unit and an interface unit, said control unit communicating with said interface unit to simulate  
5 handling of at least one instrument interfaced by said interface unit, characterised in that said instrument is a self expanding tool inserted inside a simulated vessel and simulated with respect to a set value representing an stiffness of said vessel, a rest diameter of said self expanding tool, a vessel initial inner diameter and spring constant  
10 for said tool.
2. The system of claim 1, wherein said instrument is a stent.
3. The system of claim 1, wherein said instrument is a distal protection device.  
15
4. The system of claim 3, wherein said distal protection device (30) comprises a substantially double cone (31, 32) attached at two ends to a wire (33), and covered by a sheath.
- 20 5. The system of claim 4, wherein a distal part of one cone is a net (31) for simulating catching particles that can be set free during an intervention simulation.
6. A method of simulating in an interventional procedure simulation system, comprising a control unit and an interface unit, said control unit communicating with said interface  
25 unit to simulate handling of at least one instrument interfaced by said interface unit, the method comprising:
  - providing a said instrument as a self expanding tool inserted inside a simulated vessel, and
  - simulating said instrument with respect to a set value representing an stiffness of  
30 said vessel, a rest diameter of said self expanding tool, a vessel initial inner diameter and spring constant for said tool.

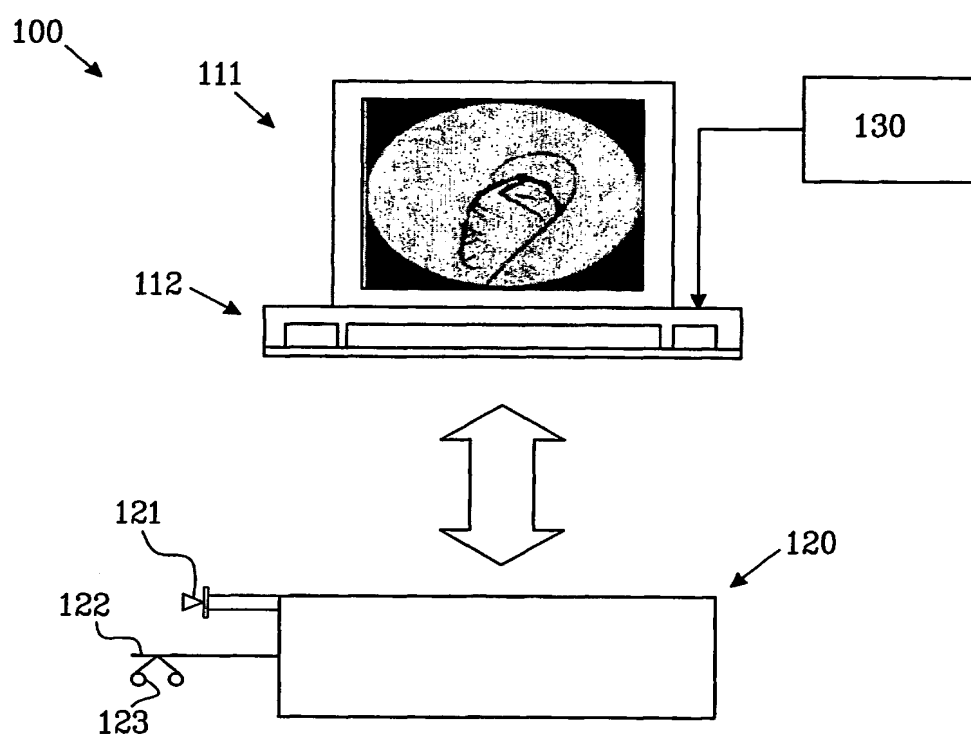


FIG. 1

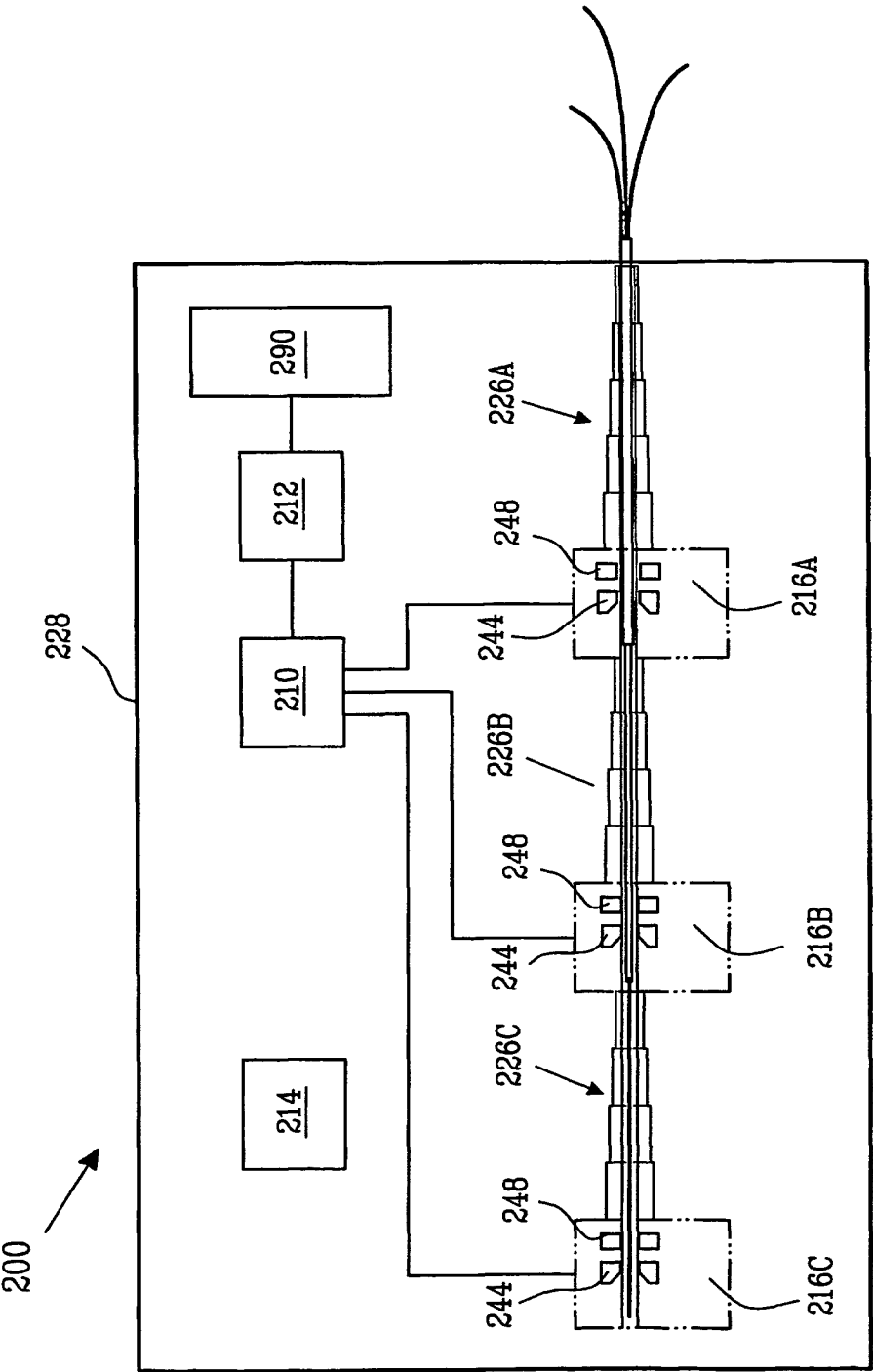


FIG.2

3/6

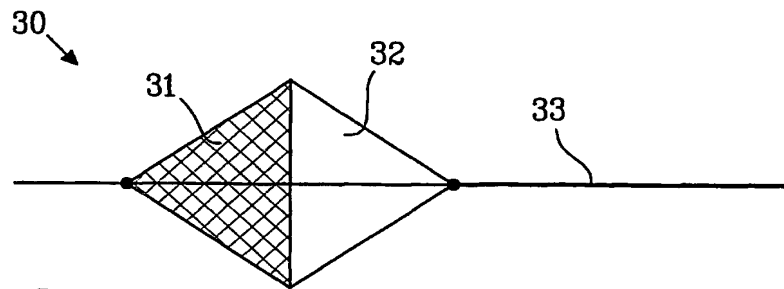


FIG. 3

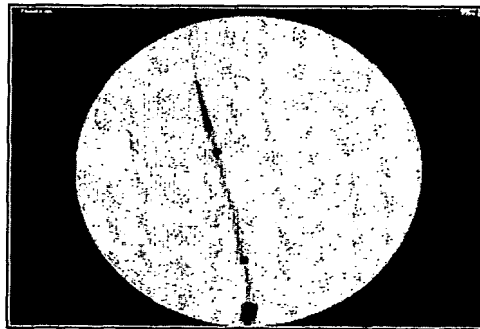


FIG. 4

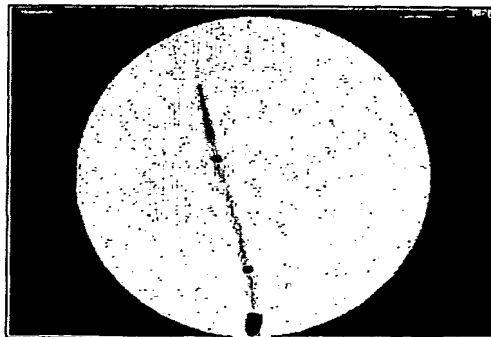


FIG. 5

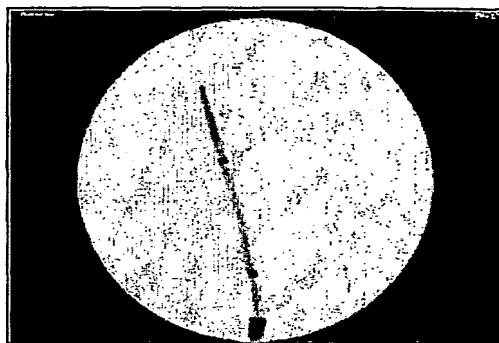


FIG. 6

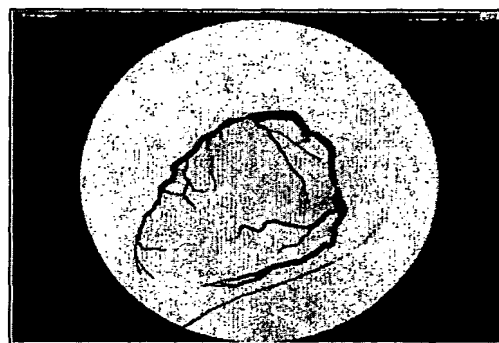


FIG. 7



FIG. 8

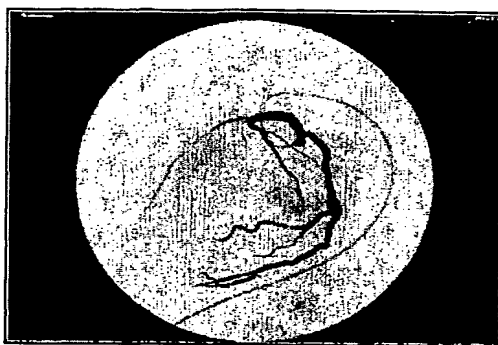


FIG. 9

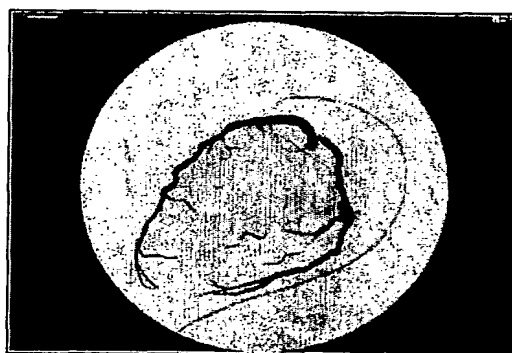


FIG. 10

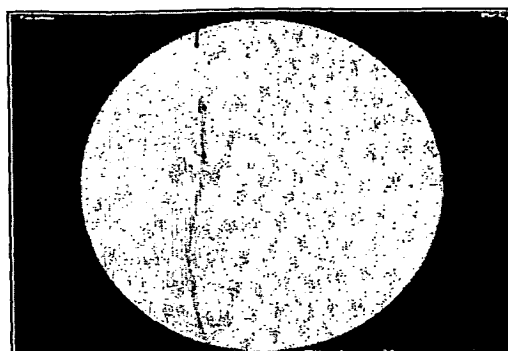


FIG.11

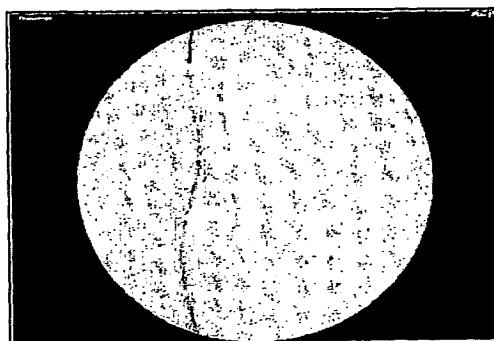


FIG.12

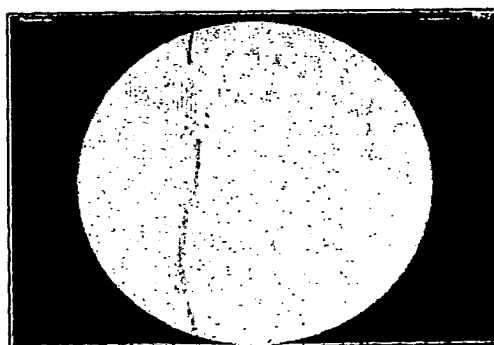


FIG.13



# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/SE 2003/001892

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: G09B 23/28

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: G09B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-INTERNAL, WPI DATA, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6106301 A (MERRIL, G L), 22 August 2000 (22.08.2000), column 5, line 15 - column 7, line 25, abstract	1-6
	--	
A	WO 0178039 A2 (SIMBIONICS LTD), 18 October 2001 (18.10.2001), claim 1, abstract	1-6
	-- -----	

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

17 February 2004

Date of mailing of the international search report

18-02-2004

Name and mailing address of the ISA/

Swedish Patent Office  
Box 5055, S-102 42 STOCKHOLM  
Facsimile No. +46 8 666 02 86

Authorized officer

Pär Heimdahl /LR  
Telephone No. +46 8 782 25 00

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

24/12/2003

International application No.

PCT/SE 2003/001892

US 6106301 A 22/08/2000

US 2001016804 A 23/08/2001

AU 4249597 A 26/03/1998

WO 9810387 A 12/03/1998

WO 0178039 A2 18/10/2001

AU 5060101 A 23/10/2001

EP 1275098 A 15/01/2003

US 2003091967 A 15/05/2003